

Learning Reduced-Order Feedback Policies for Motion Skills

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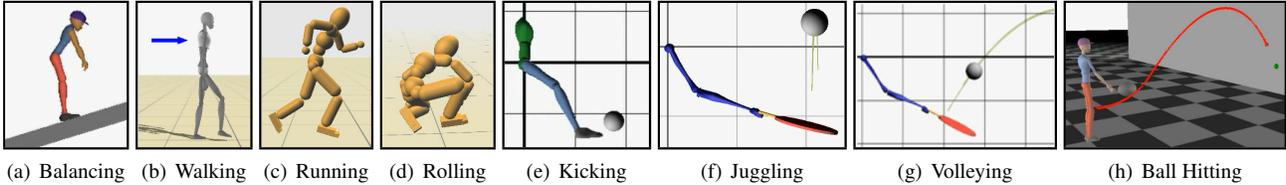


Figure 1: Given a set of sensory observations and a set of control actions for a given motion skill, our method learns low-dimensional linear feedback strategies that enable robust motions.

Abstract

We introduce a method for learning low-dimensional linear feedback strategies for the control of physics-based animated characters. Once learned, these allow simulated characters to respond to changes in the environment and changes in goals. The approach is based on policy search in the space of reduced-order linear output feedback matrices. We show that these can be used to replace or further reduce manually-designed state and action abstractions. The approach is sufficiently general to allow for the development of unconventional feedback loops, such as feedback based on ground reaction forces to achieve robust in-place balancing and robust walking. Results are demonstrated for a mix of 2D and 3D systems, including tilting-platform balancing, walking, running, rolling, targeted kicks, and several types of ball-hitting tasks.

1 Introduction

Human motions have a passive component that is dictated by physics alone, and an active component that is dictated by the control of the muscles. Although the passive component is well understood, the modeling of the control remains an obstacle for physics-based models of human motion. Considerable effort has been devoted to developing control strategies for specific motions such as diving, vaulting, running, walking, and in-place balancing. Not surprisingly, the carefully-designed use of feedback is a crucial element in the development of a good controller.

A common feature of many control systems developed for character animation is the introduction of *abstracted* or *simplified* models of the simulated character. These serve to summarize the most relevant state information, such as the center of mass (COM) position and velocity, total angular momentum, and the present-and-future locations of the feet. They can also serve to define simplified or abstract actions, such as the use of inverse kinematics to guide foot placement or the selection of the swing hip angle as the primary target for balance feedback. These abstractions are the product of human insight, and they significantly simplify the design of good control strategies.

In this paper we investigate the ability to learn reduced-order feedback abstractions and their related feedback loops instead of having to manually design them. A solution to this problem would enable the rapid development of a much larger array of skills for simulated characters. Our proposed solution takes the form of linear

feedback policies, $\mathcal{F} : \mathbb{R}^n \rightarrow \mathbb{R}^m$ that define a mapping from observed changes in sensory state, δs , to changes in control actions, δa . This instantiates a feedback path around nominal trajectories for the sensory state and actions. The feedback path is evaluated at every control time step, in the case of continuous motions, or at the start of a motion in the case of discrete motions. A reduced-order linear feedback policy is then defined via an intermediate projection into a space with reduced dimensionality: $\mathcal{F}_r : \mathbb{R}^n \rightarrow \mathbb{R}^r \rightarrow \mathbb{R}^m$. Achieving a reduction in dimensionality requires r to be smaller than both the input and output dimensions, i.e., $r < m, n$. The parameters of the control policies are then given by the matrices that perform the mappings outlined above. A feedback policy of full or reduced dimension is learned by optimizing these matrices according to a cost function that evaluates any given policy using a policy rollout, i.e., a finite-duration simulation of the policy in action.

Having established a setting for the design of reduced-order policies, there remain many questions to be answered. What is the minimal value of r that is needed to support the robust control of a given motion task? What types of sensory variables can be usefully exploited in the feedback loops? How should the cost functions be designed for a given motion task? Can the reduced-order framework ‘discover’ simple feedback laws such as those demonstrated in the past for the control of locomotion gaits [Raibert and Hodgins 1991; Yin et al. 2007]? What are the limitations of using this simple linear framework for controlling motions that have obvious non-linearities?

We evaluate the given control framework using a set of four planar motion skills (in-place balancing, targeted kicking, juggling, volleying) and four 3D motion skills (walking, running, rolling, and ball hitting). A diverse set of sensory inputs is used across these scenarios: full state vectors, ground reaction forces, target locations, delayed inputs, and noisy inputs. The results show that low-dimensional linear feedback policies are effective for many motion skills and that non-traditional sensory observations can be surprisingly useful as inputs in constructing feedback loops. The method helps enable the black-box design of feedback loops, where an end user can simply provide a nominal motion requiring feedback and then enumerate sets of possibly-relevant inputs and outputs for the design of the feedback loop.

2 Related Work

The utility of reduced-order models is well known for the efficient simulation of passive dynamical systems. In the context of com-

puter animation, reduced order models have been developed for the simulation of deformable bodies, e.g., [James and Pai 2002; Barbič et al. 2009], and fluids [Treuille et al. 2006]. The model reduction is commonly computed using modal analysis or by analysis of the simulation data arising from the full-order model. Recently, the use of modal analysis has been proposed as a tool for character animation [Kry et al. 2009], although it leaves balance feedback as an important open problem. A more recent approach [Jain and Liu 2011] builds on these ideas and addresses issues of balance and motion planning. Walking, squatting, and chin-up motions are synthesized using 10-20 modes. Our work shares the goal of finding reduced representations for the design of feedback but it differs in several respects: it provides reduced-order feedback without being restricted to reduced-order models of the dynamics; it demonstrates the feasibility of developing feedback paths having as few as 1-3 dimensions; the computed solution provides easy-to-compute feedback for real-time simulation; and our method can readily cope with complex contact and environment interactions as long as a black-box forward dynamics simulator is provided. However, all this comes at the cost of requiring significant offline computation.

Manually-designed state abstractions have been commonly used in the design of walking, running, and balancing controllers for physics-based characters. Many methods use the center of mass position and velocity as key variables for adapting foot placements to achieve balanced gaits [Raibert and Hodgins 1991; Hodgins et al. 1995; Yin et al. 2007; Wang et al. 2009; Wang et al. 2010; Lee et al. 2010; Kwon and Hodgins 2010]. Inverted pendulum models are based on a similar choice of state abstraction [Tsai et al. 2010; Coros et al. 2010; Mordatch et al. 2010]. The trunk orientation can also be used as a key state variable [Laszlo et al. 1996]. A center-of-mass model can be augmented with separate components that model angular momentum and global angular position [Ye and Liu 2010]. Modeling the net angular momentum is also demonstrated to be a key component for skilled in-place balancing behaviors [Machietto et al. 2009]. A three-link articulated model can be used as a simplified model of the torso and legs for the control of locomotion [da Silva et al. 2008]. Many other methods use the specification of a small set of important motion features as a key part of an optimization step that computes the applied controls [Wu and Popović 2010; de Lasa et al. 2010; ?] or as part of a distance metric [Sok et al. 2007]. Existing motion data for a movement can be used to construct a PCA-based subspace within which motion trajectories can be optimized [Safonova et al. 2004]. Forward dynamics simulations that track an example motion can be constructed using a stochastic search process and significant offline compute time, as demonstrated in [Liu et al. 2010]. However, this produces only an open-loop solution and thus the compute time is proportional to the duration of the motion to be tracked.

Our work is related to the design of linear output feedback in classical control theory [Lewis and Syrmos 1995]. The suggested application of optimal linear feedback for animation dates back as far as 1988 [Brotman and Netravali 1988]. Related linearized trajectory tracking approaches have been pursued for locomotion, e.g., [da Silva et al. 2008] and others. Output feedback is usually designed using model-based approaches. In these cases, systems are linearized so that the static output feedback matrix can be designed by convex optimization [Levine and Athans 1970] or solving a system of linear matrix inequalities [Scherer et al. 1997]. Because the Riccati equations are not well suited to the development of reduced-order controllers [de Oliveira and Geromel 1997], a number of alternative approaches have been developed for reduced-order design. These include solving minimum rank problems [David and De Moor 1994], LQG-like parametrization [Y.-P. and Kosut 1992], and reduced basis approaches [Burns and King 1998]. The reduced-order design problem is known to be non-convex and non-smooth

even for linear systems subject to practical design criteria, therefore leading to the use of numerical optimization methods of the output feedback matrix [Burke et al. 2003]. The use of policy search or hill-climbing methods over the space of feedback gains is also commonly seen in robotics applications, e.g., [Ng et al. 2004]. Our work goes beyond previous work in reduced-order linear output feedback design in several ways. We investigate the utility of this general class of feedback when applied to the non-linear problems of physics-based character animation. We allow for significant flexibility in the design of cost functions by using policy rollouts as a means of policy evaluation. A factorized form of the feedback matrix is used to achieve a desired dimensionality reduction. Lastly, we use modern global optimization methods for policy optimization.

3 Feedback Structure

The feedback policies we explore apply changes in control actions as a linear function of changes in sensory observations:

$$\delta a = M_F \cdot \delta s \tag{1}$$

where M_F is an $m \times n$ feedback matrix (subscripted F for full-order), $\delta a = a - \hat{a}$, and $\delta s = s - \hat{s}$. A nominal open-loop reference policy is assumed to be available, consisting of reference control actions, \hat{a} , and reference sensory observations, \hat{s} . The feedback can be applied to continuous motions, such as in-place balancing, walking, running, and rolling, or to discrete motion tasks, such as kicking, juggling, and volleying. In the case of continuous motions, the reference controls and sensed observations take the form of time-indexed trajectories, i.e., $\hat{a}(t)$ and $\hat{s}(t)$. For discrete motion tasks, they take the form of fixed nominal values. The matrix M_F provides *static output feedback (SOF)*, where *static* means that the feedback gains do not change with the phases of a motion, and *output feedback* indicates that any type of output measurements are allowed for use in feedback, in contrast to more conventional *state feedback* methods.

We explore the use of linear feedback strategies in a broad setting. Motion controls are represented using target angle trajectories that are tracked via joint-based proportional derivative (PD) controllers. Control actions can then be diverse in nature, including changes to the target angles, changes to the PD-gains, and changes to the timing of the control points that define spline-based trajectories for our discrete motion skills. The sensed observations that drive the feedback can be equally diverse, and can include state variables, ground reaction forces, goal locations, center of mass locations, or other types of measurements.

The full-order linear feedback policy is parameterized by the $m \cdot n$ elements of M_F . In order to define more compact policies, we can factor M_F into two components: (i) a $r \times n$ sensory projection matrix M_{sp} that projects high-dimensional sensory observations to a reduced-order state space; and (ii) a $m \times r$ action projection matrix M_{ap} that maps the reduced-order state back to the full action space to produce the feedback compensation. The feedback policy then becomes:

$$\delta a = M_{ap} \cdot M_{sp} \cdot \delta s \tag{2}$$

The reduced order feedback policy has $r(m + n)$ parameters. Choosing $r < mn/(m + n)$ guarantees a policy with fewer parameters than the full policy. This further implies $r < \min(m, n)$. The intermediate reduced-order space of dimension r can be thought of as a latent space defined by a small number of abstract composite variables that are particularly useful for providing feedback. We shall use the notation $(n:r:m)$ to describe a linear feedback policy with n -dimensional sensory state, r -dimensional reduced-order

space, and m -dimensional actions. Full-order feedback policies will be denoted by $(n:F:m)$.

Additional sparsity can be enforced in the feedback policy by encouraging rows and columns to be zero. This can implicitly perform feature selection among actions (rows of M_{ap} set to zero) and sensory observations (columns of M_{sp} set to zero). This can be implemented as part of the policy optimization process, as we discuss next.

4 Policy Optimization

We apply policy search using repeated rollouts in order to optimize the linear feedback structure, M , which consists of either the full matrix M_F or its reduced-order factored form, i.e., $M_{ap} \cdot M_{sp}$. Given a desired motion task, a cost function is defined. These share a common structure:

$$\text{cost}(M) = w \cdot [S(M), E(M), U(M), R(M)] \quad (3)$$

The function score is a weighted sum of four terms: $S(M)$ rewards structures that make the motion as robust as possible; $E(M)$ measures how well the resulting motion meets the environment constraints; $U(M)$ measures how well the motion satisfies user specifications; and the regularization term $R(M)$ is used to enforce the sparsity of M and therefore implicitly perform feature selection on the sensing and control variables. We use L_1 regularization terms for the norms of column vectors in the sensory projection matrix M_{sp} as well as L_1 norms of row vectors in the action projection matrix M_{ap} . This yields:

$$R(M) = w_0 \sum_i \sum_j \|M_{sp_{ij}}\|_1 + w_1 \sum_i \sum_j \|M_{ap_{ij}}\|_1 \quad (4)$$

We use a stochastic global optimization technique, Covariance Matrix Adaption (CMA) [Hansen 2006] to optimize the feedback structure. The optimization begins from an initial guess consisting of zero entries. For some control tasks, the optimization is challenging due to the complexity of the dynamical system and the fact that good solutions may only be found in a highly restricted region of the parameter space. For these tasks we therefore break the optimization into multiple stages, each with increasing difficulty, and each using the solution of the previous stage as a starting point.

5 Motion Skills

We apply our method of learning feedback policies to the set of eight motion skills shown in Figure 1. The motions and an understanding of the feedback capabilities are best seen in the accompanying video and the supplementary material. In this section, we detail the sensory variables, control actions, cost functions, and staged-learning (if any) for each task.

5.1 Continuous Motions

Balancing: Given a free-standing character, the goal is to provide the character with the ability to maintain balance on a tilting platform, as shown in Figure 2. The reference control, θ_0 , consists of the four fixed target joint angles of a balanced pose on a level platform. The feedback policy computes changes to these target angles over time so that the character is able to adapt to the tilting platform. The sensory observations are defined as the net change in the slope of the platform $\Delta\alpha = \alpha - \alpha_0$ and the changes in the ground

reaction forces $\Delta F_c = F_c - F_{c_0}$. Here, $\alpha_0 = 0^\circ$ and F_{c_0} is given by the pair of ground reaction forces seen at the heel and toe when the character stands on a level platform. The feedback can then be formulated as:

$$[\delta\theta]_{3 \times 1} = M \cdot \begin{bmatrix} \Delta\alpha_{1 \times 1} \\ \Delta F_{c_{4 \times 1}} \end{bmatrix}_{5 \times 1} \quad (5)$$

In the optimization, we only consider the robustness term $S(M)$. We reward policies that enable the longest duration of sustained balance, $t_{balance}$. Additionally, we consider that the character is most stable when the feet are in full contact with the platform. This is measured by t_{stable} , the overall duration of continuous, stable contact. Equation 6 shows the cost function. Each policy rollout is 30 s long and is driven by a predefined spline trajectory that controls the platform angle over time, $\alpha(t)$.

$$\text{cost}(M) = -\log(t_{balance} + 0.3 \cdot t_{stable}) \quad (6)$$

Walking: For this motion skill, the goal is to learn a feedback policy that provides robust walking. We build on an implementation of the SIMBICON balance strategy [Yin et al. 2007]. This employs a hand-tuned balance feedback law on the swing hip and stance ankle, depending on the horizontal distance from the stance ankle to character's center of mass (COM) and the velocity of COM. Our goal is to replace the SIMBICON feedback law with a learned policy given a collection of possibly relevant sensory data and control actions. To simplify the problem, we use a fixed SIMBICON control law to maintain balance in the coronal plane, i.e., lateral balance, and seek to learn a reduced-order sagittal plane feedback policy. We control the target pose θ for all joints of the character at each simulation time step.

We experiment with three sets of sensory inputs. Equation 7 defines the FSA feedback policy which uses the full states and actions (FSA) for the 3D character. Equation 8 shows the GRF policy, which uses the ground reaction forces (GRF) on both feet of the character as the sensory inputs. Equation 9 defines the COP policy, which uses the distance ΔD between center of pressure (COP) and COM of the feet as the sensory data where $\Delta D = p_{cop} - p_{com}$.

$$[\delta\theta]_{19 \times 1} = M \cdot [\Delta s_{full}]_{108 \times 1} \quad (7)$$

$$[\delta\theta]_{19 \times 1} = M \cdot \begin{bmatrix} \Delta F_{c_{swing_{3 \times 1}}} \\ \Delta F_{c_{stance_{3 \times 1}}} \end{bmatrix}_{6 \times 1} \quad (8)$$

$$[\delta\theta]_{19 \times 1} = M \cdot \begin{bmatrix} \Delta D_{swing_{3 \times 1}} \\ \Delta D_{stance_{3 \times 1}} \end{bmatrix}_{6 \times 1} \quad (9)$$

In the optimization, $S(M)$ rewards feedback policies that enables a sustained walk of duration $t_{balance}$. $U(M)$ is used to constrain the resulting motion to have a mean step length, l , a mean velocity per step, v , and a mean reference state, s , when the swing foot strikes the ground. We also encourage the character to walk with minimal energy, as measured by $U_2(M)$. $R(M)$ is used to perform feature selection when using the reduced-order form of feedback structure. Equation 10 shows the cost function for the optimization and the weights are set to be: $w = [2000, 1000, 1000, 0.5, 0.0001, 200]$. T represents the total simulation time of a rollout. We adopt a three-stage optimization strategy for this example. In stages one and two, we optimize using 5 s and 50 s rollouts, respectively. In stage three, we apply gradually increasing forces during the scenario so that the

feedback is refined so as to enhance the robustness of the walking motion.

$$\begin{aligned}
 cost(M) &= S(M) + U_1(M) + U_2(M) + R(M) \\
 S(M) &= w_1 \cdot (T - t_{balance}) \\
 U_1(M) &= \frac{\sum_{i=1}^{N_s} (w_2(l_i - l_0)^2 + w_3(v_i - v_0)^2 + w_4(s_i - s_0)^2)}{N} \\
 U_2(M) &= w_5 \cdot \bar{\tau}^2 \\
 R(M) &= w_6 \cdot (\sum_i \sum_j \|M_{spij}\|_1 + \sum_i \sum_j \|M_{apij}\|_1)
 \end{aligned} \tag{10}$$

Running: Figure 4 shows our simulated running character. A feedback policy for 3D running is developed around a motion capture clip, for which we first need to compute a reference set of controls.

We begin with a motion capture trajectory of one full cycle, i.e., two steps, of a fast run. The trajectory is made symmetric and six running cycles are concatenated to produce a reference motion for the sampling-based reconstruction of the underlying controls [Liu et al. 2010]. The resulting open-loop control strategy is driven by PD servos tracking a sequence of target poses $\{\mathbf{p}_i\}, i \in \{1, \dots, n\}$. A pose $\mathbf{p} = \{\mathbf{q}_j\}, j \in \{1, \dots, m\}$, where \mathbf{q}_j is the quaternion representing the orientation of the j th joint in its parent frame, and m is the number of joints. Each \mathbf{p}_i has a corresponding tracking duration Δt_i . The PD servo tracks a linear interpolation of \mathbf{p}_i and \mathbf{p}_{i+1} for Δt_i seconds and then goes on to interpolate \mathbf{p}_{i+1} and \mathbf{p}_{i+2} . Phase resetting is applied upon foot contact, similar to the phase resetting approach of SIMBICON [Yin et al. 2007]. In our experiments, $\Delta t_i = 0.0625s$, and one running step has exactly four target poses. The simulated motion of the above controller is not necessarily cyclic or symmetric even though the reference trajectory is. We create a symmetric set of controls for one run cycle by concatenating the controls for one step (half cycle) with its symmetrically mirrored version. This will be used as an initial reference control. We denote the simulated running motion as \hat{s} .

Optimization is carried out using a series of sequential stages. Roll-outs of 2, 4, 16, and 100 locomotion cycles are used during stages 0, 1, 2, and 3, respectively. We move CMA to the next stage when the iteration count exceeds 1000 or the value of objective function is smaller than a chosen threshold. The components of the cost function are given by:

$$\begin{aligned}
 cost(M) &= S(M) + U(M) \\
 S(M) &= w_t(N_d T_c - t_{balance}) \\
 U(M) &= w_s E_s + w_p E_p + w_\tau E_\tau
 \end{aligned} \tag{11}$$

where N_d is the desired number of running cycles, T_c is the length of one reference running cycle. The simulation is terminated once the character falls or when the desired number of cycles is reached. N_s is the actual number of cycles of the simulation, whose termination time is denoted as $t_{balance}$. We use $(w_t, w_s, w_p, w_\tau) = (200, 50, 10, 0.001)$ for stage zero and $w_s = 10$ for the remaining stages.

The definitions of E_s , E_p , and E_τ are given in Equations 12-14. E_s measures the symmetry of the simulated motion where \mathbf{p}_i denotes the end pose of the i th step of the simulated run, and $\mathbf{r} = \{\mathbf{q}_0, \dot{\mathbf{q}}_0, h_0, \mathbf{v}_0\}$ denotes the root state. Here, $\mathbf{q}_0, \dot{\mathbf{q}}_0, h_0$ and \mathbf{v}_0 are the orientation, the angular velocity, the height, and the linear velocity of the root, all as measured in the frame defined by character's root link facing direction. The functions $d_p(\mathbf{p}_i, \mathbf{p}_j)$ and $d_r(\mathbf{r}_i, \mathbf{r}_j)$ measure the pose and root difference between adjacent steps respectively. The overbar notation denotes a symmetric mirroring operation. E_p defines a pose energy, where \mathbf{s} and $\bar{\mathbf{s}}$ are the

simulated and the reference motions. E_τ defines the control energy, where τ_j is the joint torque of joint j .

$$E_s = \frac{1}{N_s} \sum_{i=1}^{N_s} [d_p(\bar{\mathbf{p}}_{i-1}, \mathbf{p}_i) + d_r(\bar{\mathbf{r}}_{i-1}, \mathbf{r}_i)] \tag{12}$$

$$E_p = \frac{1}{T} \int d_p(\mathbf{s}, \bar{\mathbf{s}}) dt \tag{13}$$

$$E_\tau = \frac{1}{T} \int \sum_{j=1}^m \|\tau_j\| dt \tag{14}$$

After stage zero of the optimization, we update the reference motion and reference actions to be those of the current simulation. This additional bootstrapping step helps ensure that the reference motion is highly consistent with a dynamically feasible running cycle.

During stage 4, the character runs for 100 locomotion cycles while applying external forces on the torso for 0.1 s every 5 running cycles. These forces are either 125N or 250N in magnitude and along one of the axial or diagonal directions in the plane, applied at the phase where the left foot contacts the ground. The simulation is terminated whenever the character falls. This stage is important for improving the robustness of the feedback strategy.

We test two sets of sensory input and control parameters. The first uses full-body state and action (FSA) control. A straightforward choice for the sensory input s is the full-body state $s_f = \{h_0, \mathbf{v}_0, \mathbf{q}_0, \dot{\mathbf{q}}_0, \mathbf{q}_j, \dot{\mathbf{q}}_j\}, j \in \{1, \dots, m\}$ of 88 dimensions. h_0 is the height of the root; $\mathbf{v}_0, \mathbf{q}_0$ and $\dot{\mathbf{q}}_0$ are the linear velocity, the orientation and the angular velocity of the root. These quantities are in the facing coordinate frame of the root. \mathbf{q}_j and $\dot{\mathbf{q}}_j$ are the rotation and angular velocity of joint j in the parent body's coordinate frame. A straightforward choice of the control parameters a is the PD target pose $a = \{\mathbf{q}_j\}, j \in \{1, \dots, m\}$ of 39 dimensions.

Second, we experiment with a manually-chosen reduced set of state and action (RSA) variables. We manually select several key sensory properties and action parameters. A 12-dimensional $s_r = \{\mathbf{q}_0, \mathbf{c}, \dot{\mathbf{c}}, \mathbf{d}\}$, where \mathbf{q}_0 is the root orientation; \mathbf{c} and $\dot{\mathbf{c}}$ are the COM position and linear velocity; and \mathbf{d} is the vector pointing from the COM to the stance foot. These properties are in the facing frame of the root. We choose the hips and the waist as our key joints for a 9-dimensional action vector, $a = \{\mathbf{q}_{swhip}, \mathbf{q}_{sthip}, \mathbf{q}_{waist}\}$. All these rotations are defined relative to their parent frame. To achieve more coordinated spinal postures, $\delta \mathbf{q}_{waist}$ is also applied to the chest joint. The computed δs and δa is applied directly during right stance. To ensure symmetry, we mirror δs and δa during left stance.

Equations 15 and 16 summarize the FSA and RSA feedback policies, respectively.

$$[\delta \mathbf{a}]_{39 \times 1} = M \cdot [\Delta s_f]_{88 \times 1} \tag{15}$$

$$[\delta \mathbf{a}]_{9 \times 1} = M \cdot [\Delta s_r]_{12 \times 1} \tag{16}$$

Rolling: For this example, we use the framework to learn a reduced-order feedback loop for a parkour-style front roll obtained from motion capture. Figure 5 shows example motions. We repeat a motion-captured parkour roll, \hat{s} , four times to obtain a cyclic kinematic reference motion. We again use sampling-based control [Liu et al. 2010] to develop a set of open-loop controls that imitate the reference motion in a forward dynamics simulation. We use $\Delta t_i = 0.1$ s. The phase resets upon right foot contact and right elbow contact. We select a consecutive set of target poses from

the segment of the reconstructed motion that most closely tracks its reference cycle. This gives us an open-loop rolling control \hat{a} that is able to roll the character for one cycle.

The feedback policy uses the full state and actions as for the FSA running, i.e., Equation 15. It also shares the objective function of the running controller. The policy optimization follows a sequences of stages that are analogous to those used for the optimization of the running controller. Stage three uses 50 cycles of locomotion. One rolling cycle is longer than one running cycle, so we use fewer cycles for this stage. We are able to get a feedback control matrix that can roll the character forever without first requiring an optimization stage that fine tunes the motion feedback for perturbations. This is likely a reflection of rolling motions being inherently more stable than running motions. We nevertheless proceed with a final stage of optimization based on a test scenario with perturbations added in eight directions. We use cost function weights given by $(w_t, w_s, w_p, w_\tau) = (200, 10, 10, 0.005)$. The character will roll 2, 4, 16, 50 cycles, respectively, during each of the four phases of optimization.

5.2 Discrete Motions

Kicking: This motion skill is illustrated in Figure 6 and uses a 3-joint planar leg to kick a ball towards a target. A kicking feedback policy enables the reference kicking motion, which consists of a kick to a ball that is dropped from height h_{d_0} and hits a target at height p_{d_0} , to be adapted to work for different values of $[h_d, p_d]$. The action variables are given by the control points of the spline curve that defines the target joint angles over time for the kick. The control actions can adjust both the times u_t and corresponding values u_θ of the control points with respect to their default values, $[u_{t_0}, u_{\theta_0}]$. The sensory state consists of $\Delta h_d = h_d - h_{d_0}$ and $\Delta p_d = p_d - p_{d_0}$. Equation 17 gives the form of the feedback policy.

$$\begin{bmatrix} \delta u_t \\ \delta u_\theta \end{bmatrix}_{12 \times 1} = M \cdot \begin{bmatrix} \Delta h_{d_1 \times 1} \\ \Delta p_{d_1 \times 1} \end{bmatrix}_{2 \times 1} \quad (17)$$

In the optimization, we expect the leg to make contact with the ball and kick it to towards the target point. This constraint is specified in the $U(M)$ term. A large penalty is applied in the $S(M)$ term when the character entirely misses the ball. For each simulation rollout, we use 112 pairs $[h_{d_i}, p_{d_i}]$ that span the desired range of target locations. Equation 18 shows the cost function that we use in the optimization.

$$\begin{aligned} cost(M) &= \sum_i (S_i(M) + U_i(M)) \\ S_i(M) &= \begin{cases} 0, & \text{the character kicks the ball;} \\ 100, & \text{the character fails to catch the ball.} \end{cases} \\ U_i(M) &= \|p_i - p_{d_i}\|_1 \end{aligned} \quad (18)$$

Juggling: In this motion skill the feedback policy for a planar arm must perform in-place juggling of a ball with a paddle, in the face of moderate perturbations. The planar arm has three degrees of freedom (shoulder, elbow, and wrist), as illustrated in Figure 7. The control actions are analogous to that used for kicking. The arm trajectory for the next hit is computed when the ball reaches its peak height. The sensory state consists of the state of ball, given by the position Δp and the horizontal velocity Δv_x , as well as the

state of the arm, as given by Δs :

$$\begin{bmatrix} \delta u_t \\ \delta u_\theta \end{bmatrix}_{24 \times 1} = M \cdot \begin{bmatrix} \Delta p_{2 \times 1} \\ \Delta v_{1 \times 1} \\ \Delta s_{18 \times 1} \end{bmatrix}_{21 \times 1} \quad (19)$$

One of the motion objectives is to have the ball repeatedly achieve the same state, as measured at the instant of its peak height. This state, $[p_i, v_x]$, should be similar to the initial state $[p_0, v_{x_0}]$. The cost function also rewards feedback policies that enable the system to succeed for a long time duration t_v before failing. Equation 20 gives the cost function for the optimization. A two stage optimization is applied. Optimization is first applied using 5 s rollouts, which is then extended to 15 s rollouts for the second stage.

$$\begin{aligned} cost(M) &= S(M) + U(M) \\ S(M) &= 100 \cdot t_v^{-0.95} \\ U(M) &= \sum_{i=1}^N \frac{20 \cdot \|p_i - p_0\|_2^2 + 10 \cdot \|v_{x_i} - v_{x_0}\|_2^2}{N} \end{aligned} \quad (20)$$

Volleying: This motion skill uses two planar three-link arms to repeatedly volley a ball back-and-forth to each other. The initial controller only enables this system to achieve two or three volleys. The goal is thus to develop a feedback policy that supports sustained volleying in the face of moderate perturbations. The character configuration and the control inputs are the same as for juggling. The two arms use mirrored versions of the same control policy. The feedback policy is invoked to compute an adapted arm trajectory every time the ball crosses midline between the two arms. The sensory state is defined by observed deviations in the state of the ball from that observed in the reference volley, as given by Δp and Δv , and the deviation of the state of the arm from its reference state, Δs . Equation 21 gives the applied feedback policy.

$$\begin{bmatrix} \delta u_t \\ \delta u_\theta \end{bmatrix}_{24 \times 1} = M \cdot \begin{bmatrix} \Delta p_{y_1 \times 1} \\ \Delta v_{2 \times 1} \\ \Delta s_{18 \times 1} \end{bmatrix}_{21 \times 1} \quad (21)$$

The cost function is analogous to that used for juggling. At its peak height, the cost function penalizes deviations in the position, p , and horizontal velocity, v_x , with respect to their reference values, $p_0 = [2.5, 0.0]$ and $v_{x_0} = 2.5$. The cost function further rewards the time duration of successful volleying, t_v . Equation 22 details the cost function. We adopt the same two-stage incremental scheme as for juggling, using 5 and 30 s rollouts for the two stages.

$$\begin{aligned} cost(M) &= S(M) + U(M) \\ S(M) &= T - t_v \\ U(M) &= \frac{\sum_{i=1}^N \|p_i - p_0\|_2^2}{N} + \frac{\sum_{i=1}^N \|v_{x_i} - v_{x_0}\|_2^2}{N} \end{aligned} \quad (22)$$

Hitting: In this motion skill, a 3D physics-based character learns to adapt a ball-hitting stroke to hit different spatial target positions on a wall, p_{t_d} . The character has 17 links and 39 degrees of freedom. The tennis swing controller drives the 5 DOF right arm. A standing controller is applied to other parts of the character. The reference swing motion enables the standing character to hit an upcoming ball towards a default target position, p_{t_0} , on the wall. The feedback policy is applied here to adapt the controller to different desired target position, p_{t_d} . We use the same form of control parameters

as previous examples and we use the distance, Δp_t , between current desired wall position, p_{t_d} , and the default one, p_{t_0} as the sensory inputs of the feedback policy:

$$\begin{bmatrix} \delta u_t \\ \delta u_\theta \end{bmatrix}_{38 \times 1} = M \cdot \begin{bmatrix} \Delta p_t \end{bmatrix}_{2 \times 1} \quad (23)$$

In the optimization, $S(M)$ is used to penalize policies that fail to strike the ball. In the $U(M)$ term, if the ball falls to the ground before hitting the wall, $S(M)$ will set a penalty according to the remaining horizontal distance to the target position on the wall, $d_{xz} = \|p_{ball_{xz}} - p_{wall_{xz}}\|_2$. Otherwise, it rewards policies where the ball hits the wall at a position, p_c , nearby the target, p_{t_d} . For each evaluation in the optimization process, we evaluate feedback policies for eight different desired target positions. Equation 24 gives the cost function for this example.

$$\begin{aligned} cost(M) &= \sum_i (S_i(M) + U_i(M)) \\ S_i(M) &= \begin{cases} 0, & \text{the character hits the ball;} \\ 100, & \text{the character fails to hit the ball.} \end{cases} \\ U_i(M) &= \begin{cases} 10 \cdot d_{xz}^2, & \text{the ball hits the ball;} \\ 10 \cdot \|p_c - p_{t_d}\|_2^2, & \text{the ball fails to hit the wall.} \end{cases} \end{aligned} \quad (24)$$

6 Results and Discussion

We develop and evaluate feedback policies for the eight skills previously described. The 3D examples use Open Dynamics Engine [ODE] as a physics simulator, while the planar simulations use the Box2D physics engine [Box2D]. The results are computed using a single-threaded implementation on current generation PC hardware, with the exception of the running and rolling optimizations, which use 18 cores on a cluster. All the results are best seen in the video material that accompanies this paper. Where possible, we test both the full-order linear feedback policy as well as several reduced orders. Table 1 provides a summary of the policies tested, their structure, the offline computation times, and the final optimized value of the cost function.

6.1 Performance

Balancing: The planar balancing character is placed near the pivot point of the tilting platform, as seen in Figure 2. A Catmull-Rom spline curve is used to model the tilt angle as a function of time. These curves are illustrated above the balancing characters. The vertical red bar marks the current point in time for the given image. One of these curves is used as a training scenario, i.e., to optimize the feedback policy, while four are used for testing. Full-order, first-order, and second-order solutions are learned. A number of remarks can be made about the results. The entire body is used to maintain balance, although a naive solution might only use the ankle joint. The arms and trunk naturally counter-rotate with respect to each other in order to help maintain near-zero net angular momentum. In some test scenarios the character can be propelled into the air and still successfully lands and recovers. The first order solution is slightly less capable than the second-order and full-order solutions according to the final values of the cost function. However, all orders produce solutions that are visibly similar in style. We compare the learned solutions to a hand-tuned solution that only uses the ankle, and the hand-tuned solution is found to be markedly inferior to the learned policies. In order to test the ability of the system to cope with delayed sensory information, we experiment with

Feedback	Params	Evals $\times 10^3$	Time (hrs)	Cost
Balance				
5:F:4	20	8.7	0.11	-3.65
5:2:4	18	5	0.43	-3.64
5:1:4	9	0.5	0.02	-3.61
5:F:4*	20	9.7	0.09	-3.64
Walking: full state (FSA)				
108:3:19	381	56	90	733
108:2:19	254	95	397	741
108:1:19	127	96	399	711
Walking: center of pressure (COP)				
6:F:19	114	57	118	792
6:3:19	75	97	431	714
6:2:19	50	95	429	705
6:1:19	25	78	272	757
Walking: ground reaction forces (GRF)				
6:F:19	114	60	145	732
6:3:19	75	88	209	912
6:2:19	50	91	380	1010
6:1:19	25	94	333	1675
Walking: SIMBICON				
2:1:1	4	1	11	715
Running: reduced sensory-state and actions (RSA)				
12:F:9	108	18	0.37 [†]	3.45
12:3:9	63	18	0.30 [†]	3.39
12:2:9	42	18	0.37 [†]	3.51
12:1:9	21	-	-	fail
Running: full state and action (FSA)				
88:1:39	127	-	-	fail
Rolling				
88:1:39	127	11.5	2.04 [†]	4.45
Kicking				
2:F:26	52	20	69.8	32.7
2:1:26	28	26	164.2	110.7
Juggling				
21:F:24	504	23	3.4	0.0173
21:3:24	135	85	25.3	0.0021
21:2:24	90	55	14.9	0.0022
21:1:24	45	66	18.0	0.0196
Volleying				
21:F:24	504	13	9.14	0.089
21:3:24	135	-	-	fail
21:2:24	90	43	47.7	0.125
21:1:24	45	-	-	fail
Ball Hitting				
2:F:38	76	14.9	39.9	0.005
2:1:28	30	6.4	37.5	2.55

[†] Computed using 18 cores on a cluster.

* Sensory state delayed by 150ms.

Table 1: Results.

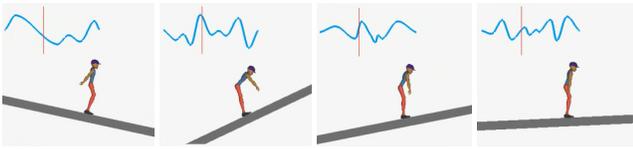


Figure 2: Balancing

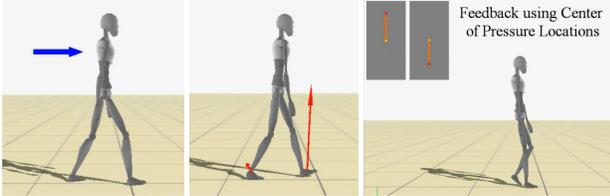


Figure 3: Walking

adding 150 ms of delay to the sensed state during both training and testing. With full-order feedback, this produces only a slight decrease in performance. The balancing example also demonstrates the success of a non-traditional form of feedback; the sensed state consists of the ground tilt angle and the ground reaction forces, but it otherwise has no information about the state of the character, as would be the case for a more classical feedback structure. However, the joints remain aware of their own local positions and velocities through their individual PD controllers.

Walking: As discussed previously, control policies are developed for the sagittal aspect of a fully 3D walking simulation using three different types of sensory state: the 108-D full state and actions (FSA), a 6-D measure of the centers of pressure (COP), and a 6-D measurement of the ground reaction forces (GRFs). We do not develop a full-order policy for FSA walking because of the large number of parameters it would have ($108 \times 19 = 2052$). The results show that all types of sensory-state feedback can be successful. Example results are shown in Figure 3. In all cases, one-dimensional reduced order feedback, i.e., a single number, proves to be sufficient to yield robust feedback for the sagittal component of 3D walking.

For the FSA and COP cases, all orders yield roughly equivalent performance in terms of the final optimized cost function. While a higher-order solution should at least match the performance of a lower-order solution, this is not strictly the case for FS, COP, and GRF. We attribute this to the optimizations not always finding the global minimum in the high-D parameter spaces.

We further evaluate the feedback policies according to the maximum recoverable force (MRF) for an applied external force of 0.4 s duration applied at the beginning of the motion. The results are shown in Table 2. Many of the feedback policies improve upon an optimized version of SIMBICON, which has a MRF of 80 N in our experiments. The second, third, and full-order COP feedback policies are found to be the most robust.

Order	FS	COP	GRF
full	-	203	75
1	94	85	107
2	167	184	80
3	73	193	63

Table 2: Maximal recoverable forces for walking, in N.

Running: The control policy for running aims to achieve full 3D control with no prior information regarding possible strategies for

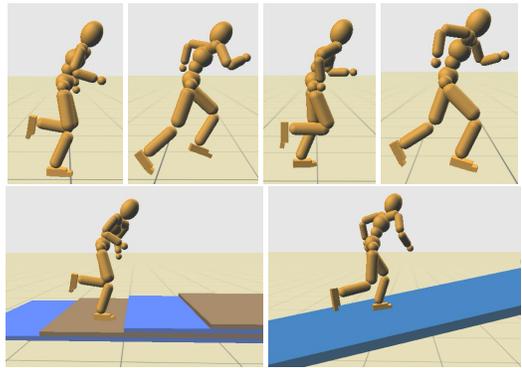


Figure 4: Running level terrain, steps, and slopes.

separation of the task into sagittal and lateral control. As discussed earlier, the initial open-loop sequence uses a reference action that is computed using sampling-based control.

Attempts to design a first-order policy using the 88-dimensional full state vector and the 39-dimensional action space failed to produce a stable control policy. Because of the dimensionality of the problem, We did not test higher order policies that used the full state-and-action (FSA) space, in part because of the number of parameters involved – a second order FSA solution would have 254 parameters. However, a reduced set of sensory state and action (RSA) variables, i.e., 12:r:9, yields successful policies for full, second, and third-order policies for robust 3D running. Robustness to terrain variations is also achieved by including example variations in the final optimization stage. Figure 4 shows several results. While we found it possible to produce some successful first-order (12:1:9) policies for flat terrain, the resulting systems were fragile with respect to perturbations and thus we treat this as a failure case. The fragile nature of the first-order solution is not surprising given that stable running is likely to require both sagittal and coronal plane balance feedback.

The robustness of the RSA policies with respect to external perturbations are tested by applying external forces for 0.2 s along a set of 8 different directions. The simulated character runs for 30 steps and the forces are applied at the beginning of the 10th step, which is a right stance step. The maximum perturbation force that can be sustained in any given direction typically ranges from 140-180 N, and can go as high as 500 N for a backwards push that slows the character. No significant differences were noted between the second, third, and full-order policies for the RSA feedback structure.

Rolling: We learn a successful first-order linear feedback policy for cyclic execution of parkour rolls. These policies are developed using the full-body state description as sensory input and the full-body action space, i.e., 88:1:39. With the addition of an optimization stage with terrain variations, the rolls are robust to these as well. Figure 5 illustrates example rolls on flat terrain and onto a step. Developing controllers for this type of task is difficult for model-based design methodologies because of the rapidly changing ground contacts that occur throughout the rolling motion. In these situations, policy search methods have the advantage of not needing to create an explicit model of the dynamics. Instead, the impact of control policy variables is simply observed via policy rollouts.

The robustness to pushes varies with respect to the push direction. For example, the character can recover from a large push to the forward right direction (460N), but only a small push to the forward left (220N). This is explained by the asymmetric parkour roll. The results are robust to 10 cm steps in the terrain.

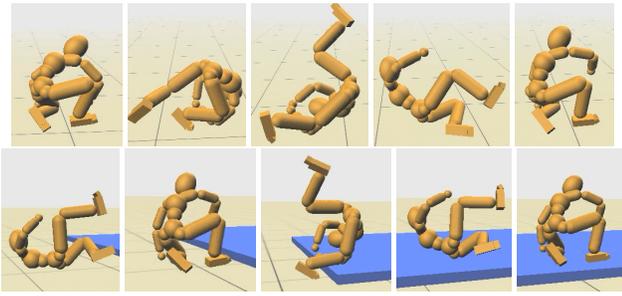


Figure 5: Forward Rolling with reduced-order linear feedback.

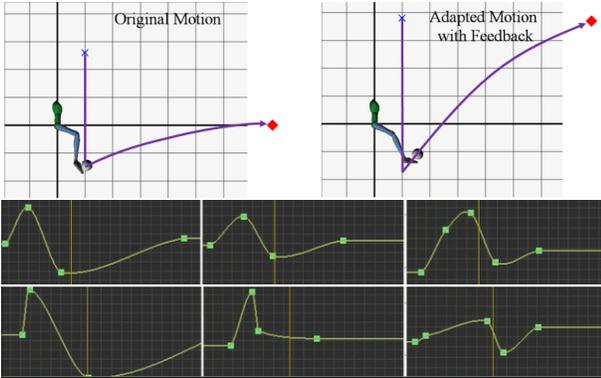


Figure 6: Kicking

Kicking: The kicking example defines a discrete motion that adapts to the initial state of the incoming ball as well as to the desired target state. Because the sensory state is two dimensional, only full-order and first-order policies are considered for this motion task. The full-order policy succeeds in accurately hitting the target for significant variations in initial height and target height. The accompanying video shows the resulting accuracy as well as providing a visualization of how the PD-target angle splines change as a function of the sensed state variables. The linear policy is accurate at hitting the target despite the fact that the final ball trajectory is sensitive to the precise details of how the foot strikes the ball. Figure 6 gives a schematic depiction of the reference trajectory and an adapted trajectory. The first-order policy performs significantly worse, as can be expected given the two independent sources of required adaptations. The policy optimization is slow for this planar example because of the 112 example tasks that are run for each policy evaluation, with each of these taking 1–5 s of simulation time. Poor performance results in longer simulation times in our current implementation because of a weak test for detecting when a kick completely misses the ball, which leads to much longer duration simulations than necessary.

Juggling: This discrete task aims to achieve stable bouncing of the ball on the paddle. It achieves similar success for all synthesized linear policies, regardless of their order, as documented in Table 1. The spline control trajectories for three different hits are shown along the bottom of Figure 7. The juggling can recover from small external perturbations. It cannot cope with large perturbations, in part because the base of the arm is fixed in space.

Volleying: This motion skill is particularly challenging for feedback control, given the small tolerances that are required to achieve sustained volleys. Successful full-order and second-order solutions are learned, but the optimization was unable to achieve acceptable results using first-order and third-order policies. It should never-

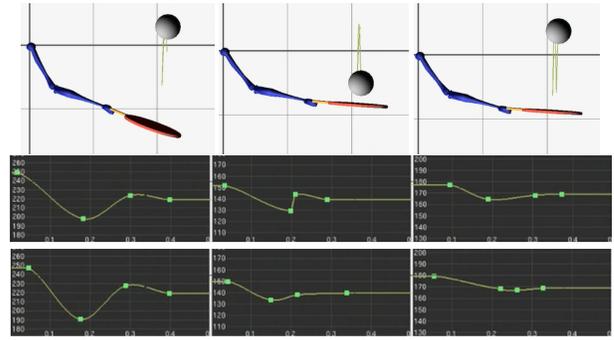


Figure 7: Juggling

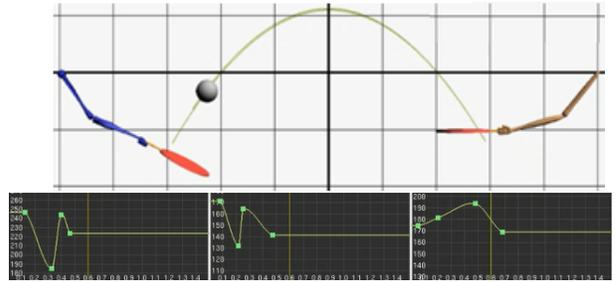


Figure 8: Volleying

theless be possible to develop a successful third-order policy, given that its degrees of freedom include the space of all second-order policies. Figure 8 shows a typical volley trajectory.

Ball Hitting: This fully 3D task adapts to 2D changes of the target state, as shown in Figure 9. The full order solution works well, while the first order result is much less accurate which is unsurprising given the 2D parameterization of the target position. For this motion task, the linear feedback structure can be thought of as defining a set of action synergies that span the 38 actuated degrees of freedom of the standing character.

6.2 Discussion

Model Reduction: The reduced dimensional space can be seen as modeling a compact “summary state” from the supplied sensory

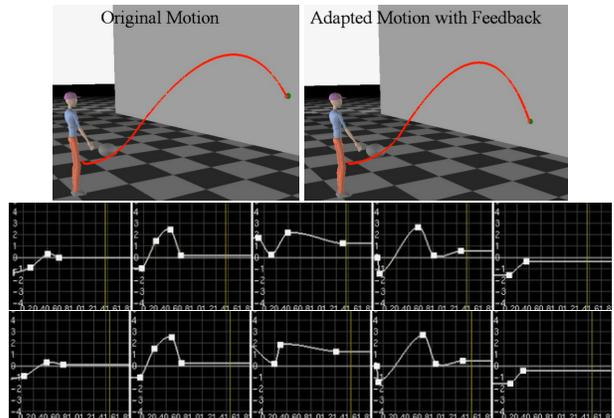


Figure 9: Ball hitting

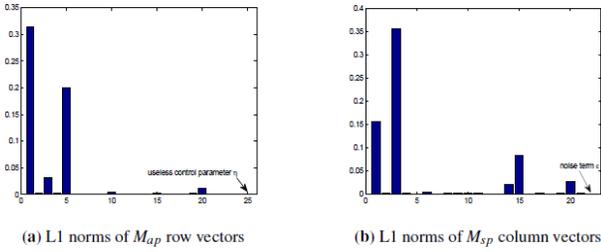


Figure 10: Results of Regularization for Feature Selection

state, in which case M_{sp} can be seen as a type of state estimation matrix. Alternatively, it can also be seen as modeling a reduced-dimension actuation basis. For this case, M_{ap} can be seen as a matrix that defines the actuation synergies.

There is a compromise to be made in setting the desired dimensionality, r , of the reduced-order feedback. Having more dimensions allows for additional flexibility in the feedback. However, it also introduces a larger number of parameters, thereby increasing the optimization time and the likelihood of ending in a local minima. Our choice of feedback matrix factorization is such that it will still contain redundancies. For example, the pair of matrices $M_{ap} \cdot M_{sp}$ and $M'_{ap} \cdot M'_{sp}$ represent identical feedback structures when $M'_{ap} = a \cdot M_{ap}$ and $M'_{sp} = (1/a) \cdot M_{sp}$.

As described earlier, the $R(M)$ regularization term in the cost function can be used to achieve further sparsity in the feedback policy. We test this for juggling using a variant of the (21:1:24) policy. We augment the control actions with an additional variable that has no impact on the dynamics, and augment the sensory inputs with a variable that samples from a Gaussian distribution, $\mathcal{N}(0, 1)$ each time the feedback policy is invoked. This yields a (22:1:24) policy. The weight of the regularization term is set to 3.0. Figure 10 shows that the row and column vectors that correspond to these features are effectively eliminated, and shows a sparse first-order feedback policy that is dominated by 5 sensory features and 3 actuators.

Optimization method: We have tested the impact of using a local optimization method instead of the CMA stochastic optimization. We implement a greedy stochastic local search algorithm and compare its performance on the balancing and volleying tasks. For balancing, the two methods converge to a solution with very similar performance. For the case of volleying, the local optimization method fails to find a solution of comparable quantity. The convergence to local minima using local optimization methods is not surprising, as the reduced-order design problem is non-convex and non-smooth even for linear systems [Burke et al. 2003].

Contrast with model-based feedback methods: Sophisticated model-based control methods offer an alternative approach for controlling motion skills because in our animated setting we have full access to the underlying model. However, model-based solutions currently require significant expertise to deploy in comparison to the black-box forward dynamics simulator needed for our approach. The methods proposed in this paper can also be easily applied to motions such as rolling, where the rapidly changing nature of ground contacts makes it challenging to apply model-based approaches. The impact of effects such as rapidly changing contacts, friction, compliance with the ground, and compliance in the actuation are all implicitly modeled through the episodic rollouts of our method and thus these pose no particular challenges to our approach.

6.3 Limitations

Our work has a number of limitations. We do not currently allow for phase-dependent feedback because the larger number of parameters would likely be problematic for our current optimization methodology. However, it might be possible to use the static output feedback as a point of departure for subsequent refinement of the feedback in a phase-dependent fashion. The design of the cost function still requires care and there may be the need to shape the optimization using multiple stages. The current feedback policy currently allows no direct user control over the final style of feedback. We are still investigating the practical limits for the scalability of the methods. Currently, the policy optimization requires significant offline computation, although it is highly amenable to parallelization on clusters.

7 Conclusions

We have presented a method that can be used to learn reduced-order linear feedback policies for a variety of motion skills. The user provides a reference motion, a set of potentially-useful sensory state variables, a set of potentially-useful action variables, and a skill-specific cost function. Given this input, the method is then capable of synthesizing task-specific reduced-order linear control policies. Our hope is that this feedback-design methodology will help in moving controller design from the realm of the expert towards a broader audience. Our results demonstrate that optimized linear feedback policies can be effective for controlling a diverse range of motion skills. They further show that a variety of motion skills can be robustly controlled using very low-order feedback paths (1–3 numbers).

There are numerous directions for future work. We wish to learn feedback policies for articulated figure models that are rigged with musculotendon models. The generic, embodied nature of our approach means that muscle activations can simply be treated as another type of control action. The impact of muscle dynamics and muscles that span multiple joints would be implicitly modeled during policy rollouts. It would be interesting to compare and contrast the learned feedback policies to human feedback strategies.

The optimization process might be improved upon in several ways. Recent work in robotics and machine learning points to several possible policy optimization strategies that reduce the number of required rollouts. It may be possible to bootstrap from one learned reduced-order feedback policy to another for a related motion skill, or to bootstrap from observations of human feedback strategies. We wish to develop a principled method for creating the staged learning that is needed for several of our simulated tasks.

The feedback structure itself could also be adapted in several ways. Optimized affine feedback instead of linear feedback would allow the reference sensory state and actuation to be automatically tuned so as to achieve the best result. The addition of memory to the feedback policy would likely allow for improved policies as a result of being able to non-reactive policies, i.e., policies that allow filtering for state estimation.

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