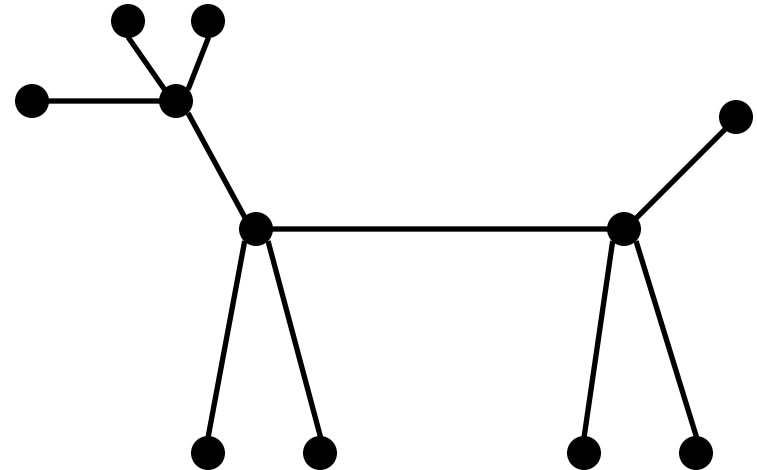
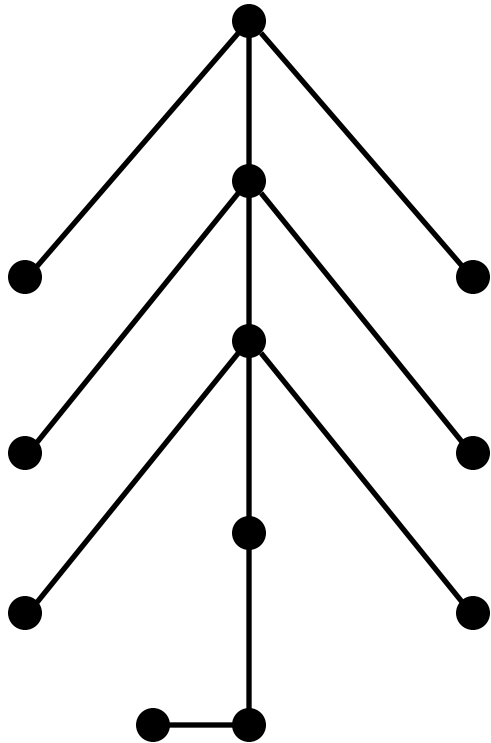


Trees

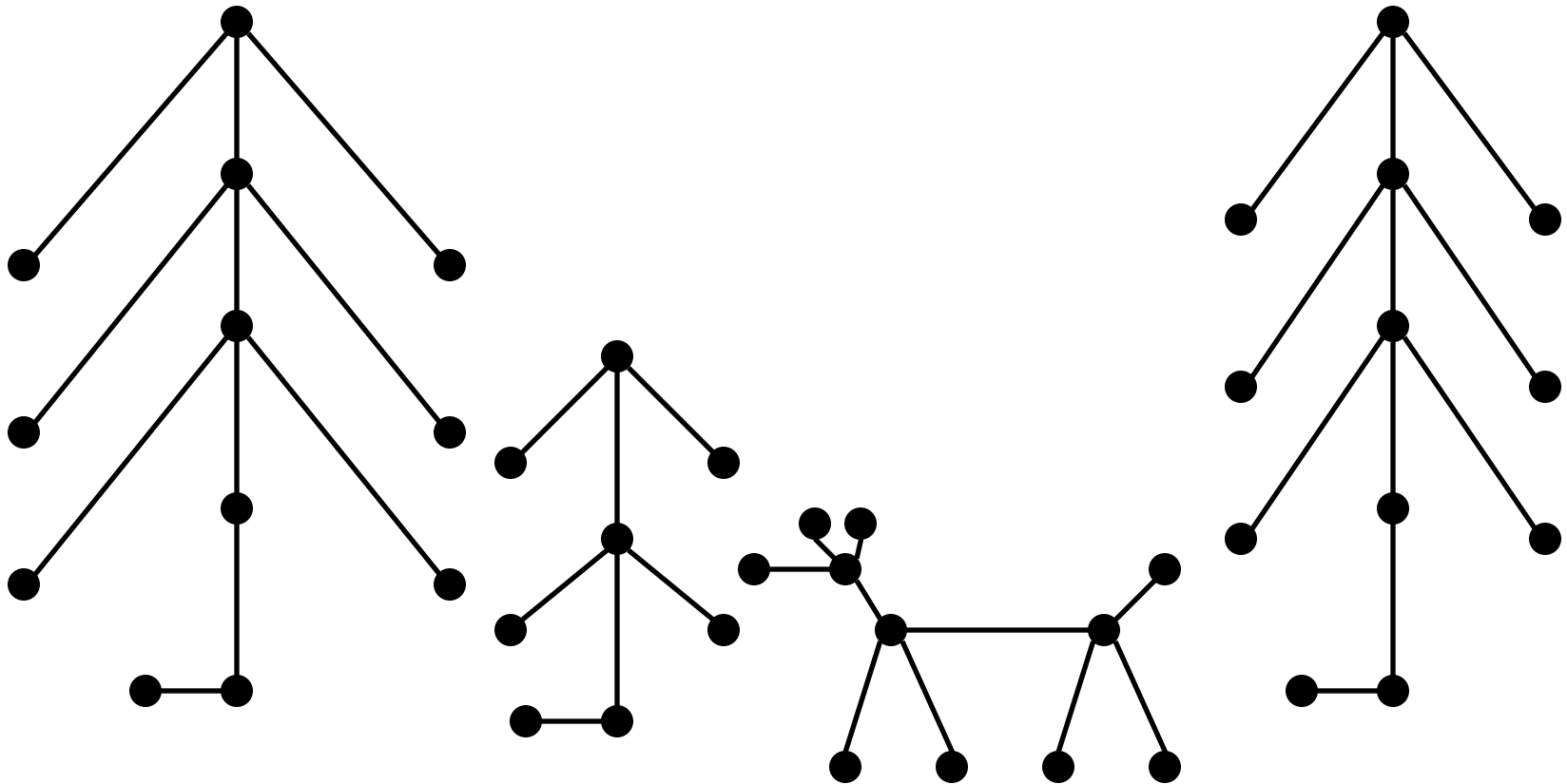
Trees

● A *tree* is a simple *connected* graph that contains *no cycle*



Forests

● A *forest* is a simple graph where each connected component is a tree

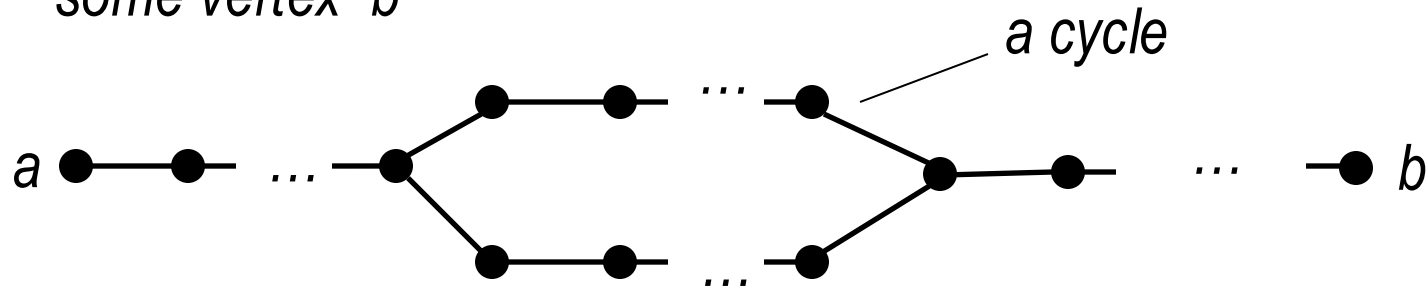


Properties of Trees: uniqueness of paths

● **Property:** *A connected graph G is a tree if and only if for any vertices a and b in G there is exactly one path from a to b .*

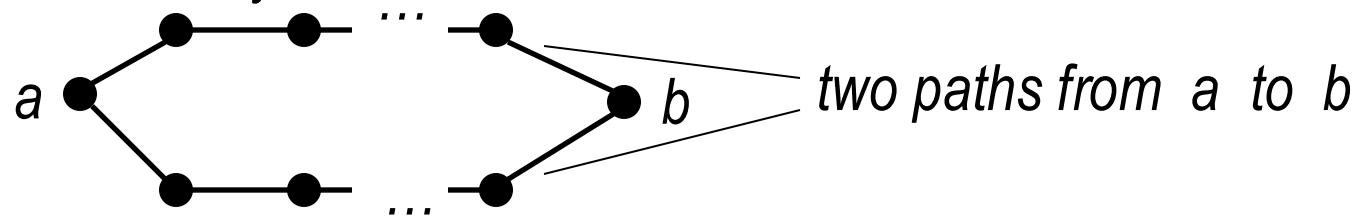
● **Proof**

$\Rightarrow$ Suppose G has two different paths from some vertex a to some vertex b



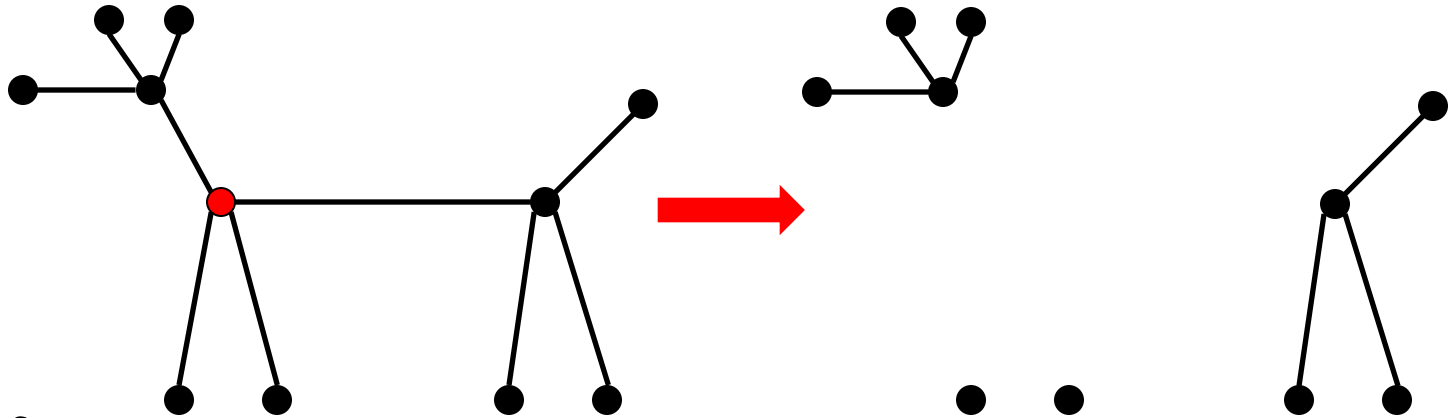
$\Leftarrow$ Suppose G is connected but not a tree.

Then it contains a cycle



Properties of Trees: subtree property

● **Property:** Let v be a vertex in a tree $G = (V, E)$. Then the induced subgraph G' on $V - \{v\}$ is a forest, and each neighbour of v in G is in a different connected component (*subtree*) of G'



● **Proof:**

(a) G' is a forest because G contains no cycles

(b) Let u, w be neighbours of v in G and suppose there is a path $u = u_0 e_1 u_1 \dots e_k u_k = w$ between them in G' .

Then $u = u_0 e_1 u_1 \dots e_k w e_{k+1} v e_{k+2} u_0 = u$ is a cycle in G

Sub-tree property

- Recall that structural induction on a *recursively defined* binary tree has the following structure:
 - Base case: P is true for a leaf (tree with one vertex)
 - Inductive step: Show that if P holds for two trees T_1, T_2 , P holds for a new tree T with a root vertex v connected to the roots of T_1 and T_2
- The previous property allows us to construct *structurally inductive* proofs by *mathematical induction on the number of vertices*:
- To prove property $P(n)$ on trees with n vertices
 - Base case: 0, 1, or sometimes 2 vertices
 - Inductive case: Assume $P(i)$ for all i up to k
 - Consider a tree with $k+1$ vertices and take one vertex v
 - Its neighbours are all trees with at most k vertices!

Properties of Trees: pendants

● **Property:** *A tree G with at least 2 vertices contains at least two pendant vertices*

● **Proof**

By (strong) induction on the number of vertices n

Base case: $n=2$

Then the tree is  which has 2 pendants

Inductive case:

Assume that every tree on up to k vertices has 2 pendants

Pick an arbitrary vertex v . Since G is connected, $\deg(v) = m > 0$

Let $u_1 \dots u_m$ be the neighbours of v in G . By the previous property, each u_i is in a separate component of $G - \{v\}$

By the inductive hypothesis, each component has at least one pendant vertex w_i which is not equal to u_i

If $m = 1$ then w_1 and v are pendant, otherwise w_1 and w_2 are pendant

Properties of Trees: number of edges

● **Property:** A tree with n vertices has $n - 1$ edges

- **Proof** (By strong induction on n)

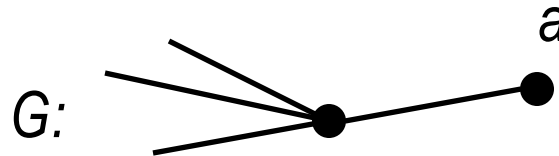
Base case: $n=1$ ●

0 edges, so the property holds

Inductive case.

Suppose the claim holds for all trees with at most k vertices.

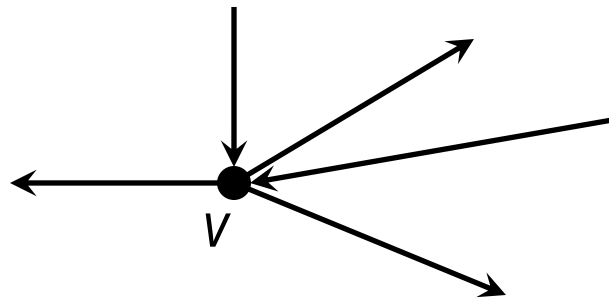
Let G be a tree with $k+1$ vertices. Then G has a pendant " a "



Let G' be the tree with a removed. By the inductive hypothesis, G' has $k-1$ edges, so G has k edges.

Indegree and Outdegree

- Let $G = (V, E)$ be a *directed* graph, and v its vertex.
- The *outdegree* of v , denoted by $\text{outdeg}(v)$, is the number of edges e of G such that v is the source vertex of e
- The *indegree* of v , denoted by $\text{indeg}(v)$, is the number of edges e such that v is the terminal vertex of e



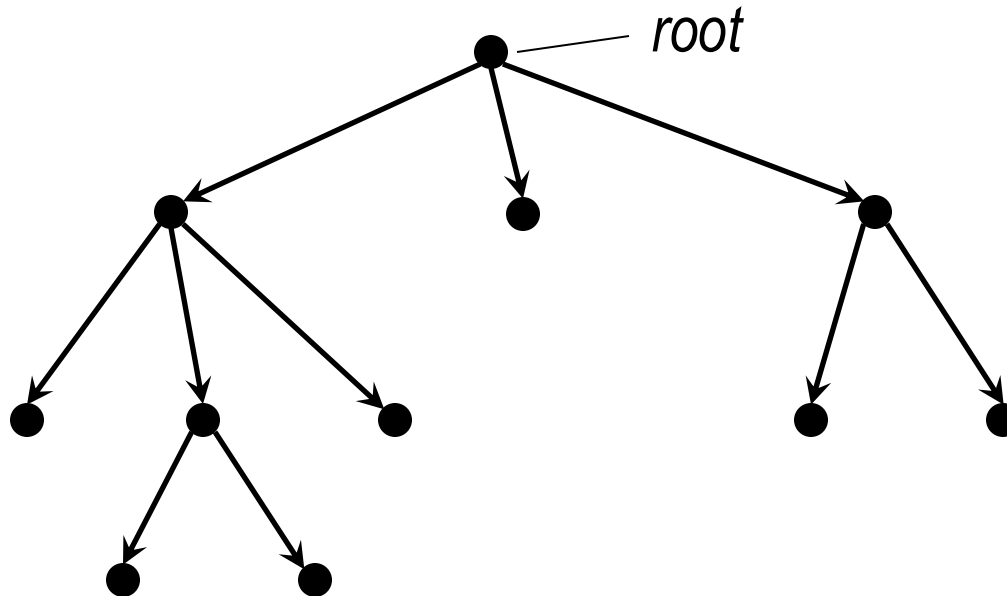
$$\text{outdeg}(v) = 3$$

$$\text{indeg}(v) = 2$$

- Clearly, $\text{outdeg}(v) + \text{indeg}(v) = \text{deg}(v)$

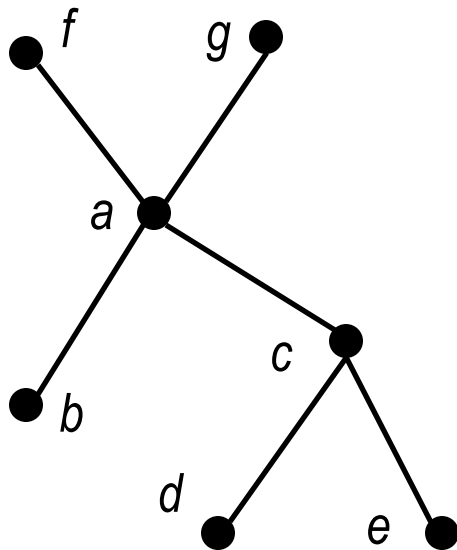
Rooted Trees

- A *directed* graph G is called a *rooted tree* if
- it is a tree if the directions of the edges are ignored
 - there is a unique vertex r such that $\text{indeg}(r) = 0$; this vertex is called the *root* of G
 - the indegree of any other vertex is equal to 1

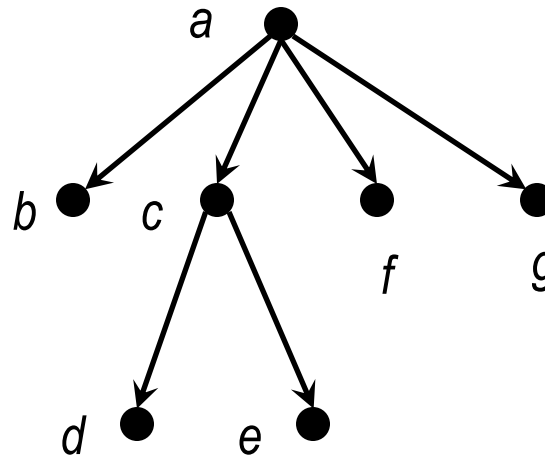


Rooted from Unrooted

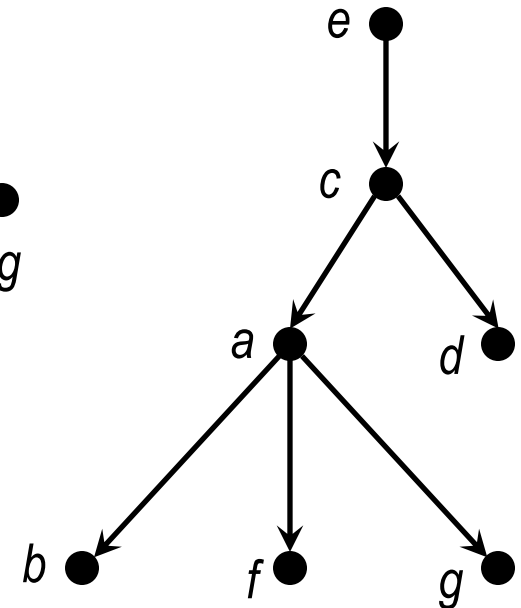
- Any ordinary tree can be converted into a rooted tree by simply by choosing a root



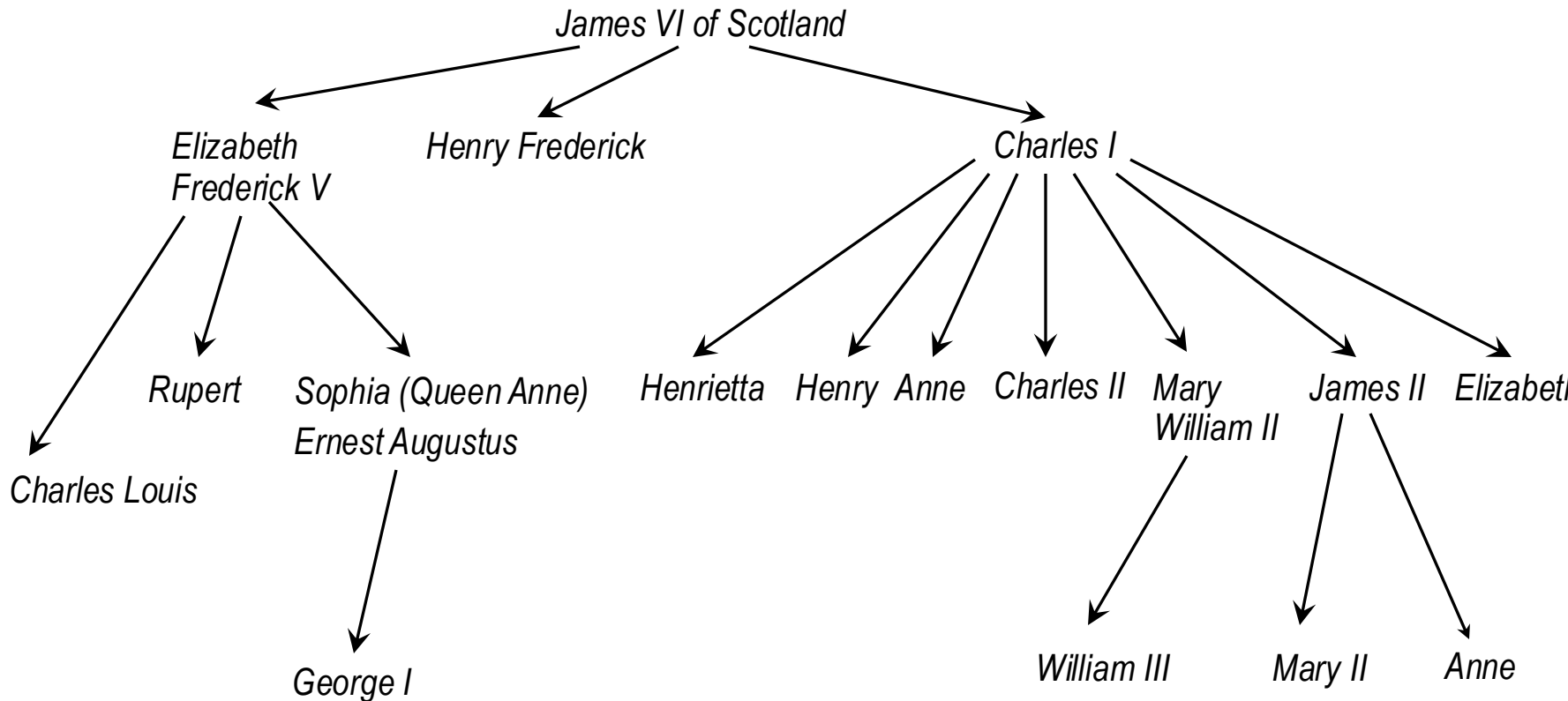
a is the root



e is the root



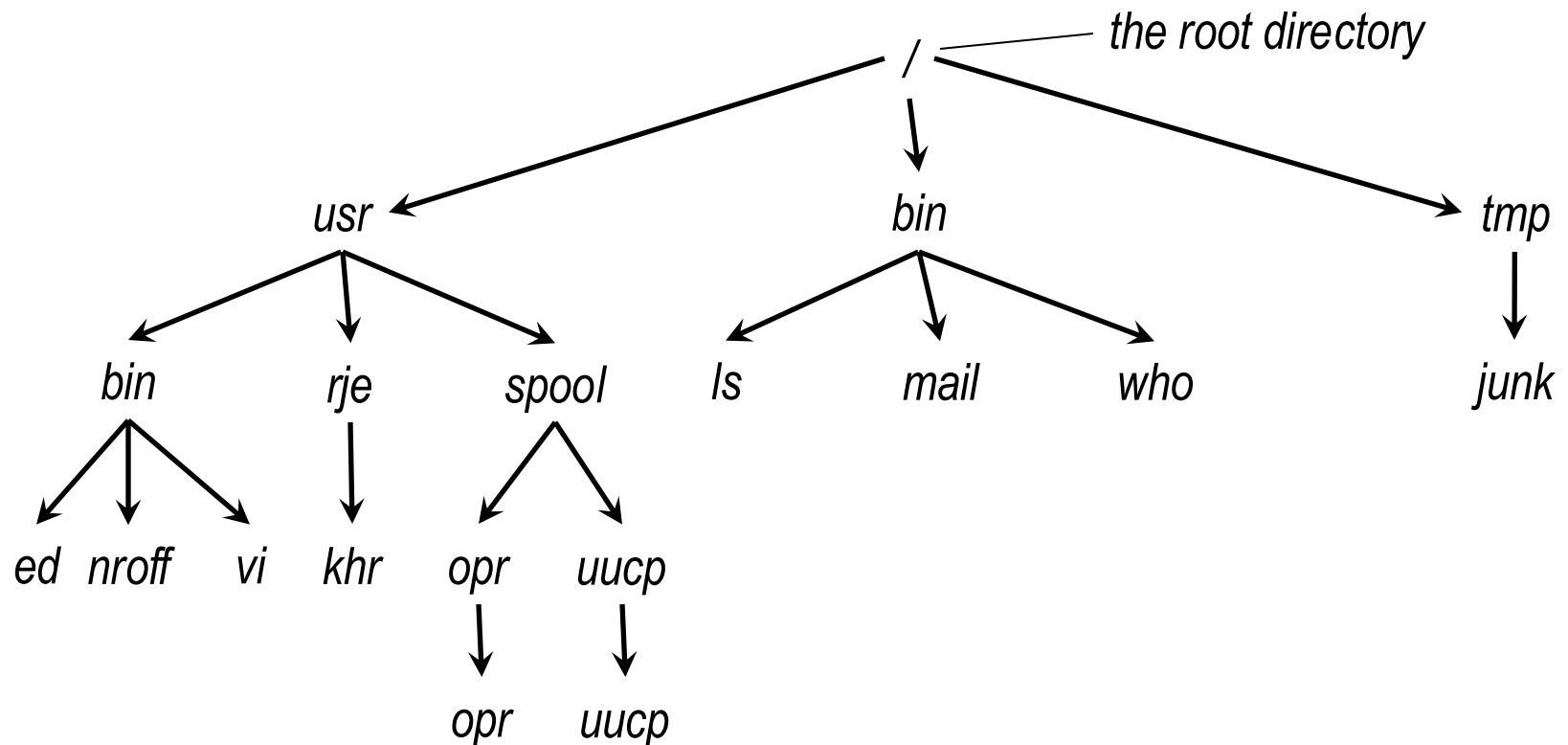
Examples: Family Tree



(<http://www.royal.gov.uk>)

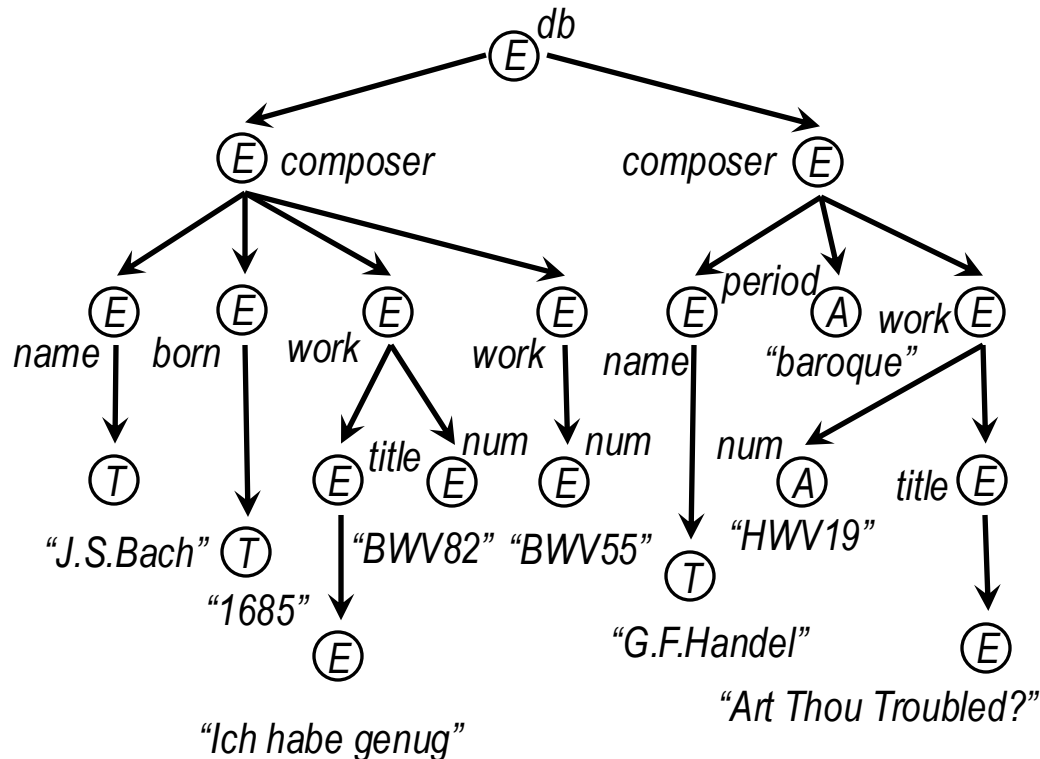
Examples: File System

- *Organization of the disk space.*
- *Every file or directory is represented by a vertex*
- *A directory is connected to each of its subdirs and each file in it*



Examples: XML Databases

- XML (Extensible Markup Language) is a tool to support hierarchical information. In a nutshell this is a language to represent rooted trees in text form



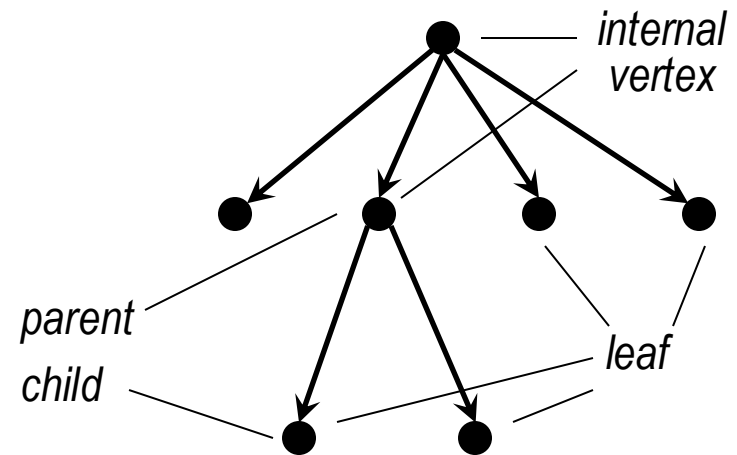
```

<db>
  <composer>
    <name> J.S.Bach </name>
    <born> 1685 </born>
    <work num="BWV82">
      <title> Ich habe genug </title>
    </work>
    <work num="BWV55"> </work>
  </composer>
  <composer period="baroque">
    <name> G.F.Handel </name>
    <work num="HWV19">
      <title> Art Thou Troubled? </title>
    </work>
  </composer>
</db>
  
```

Terminology

- For a directed edge from vertex u to vertex v :
 u is a **parent** of v .
 v is a **child** of u .

- For a vertex u :
Any vertices (excluding vertex u)
on the path from the root to the
vertex u are the **ancestors** of u .
Vertex u is the **descendant** of these vertices.

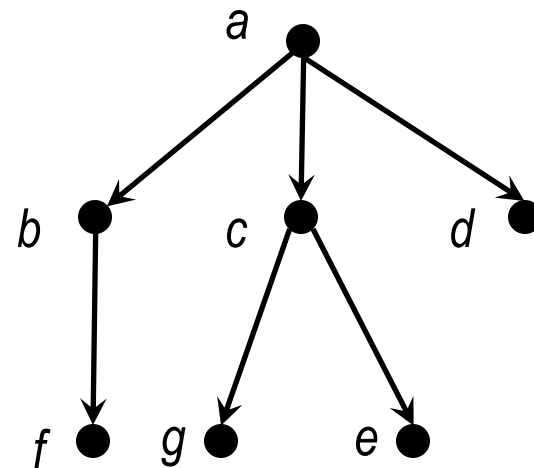


- A **terminal vertex** or a **leaf** is a vertex that has no children. (There must be at least one leaf.)
- An **internal vertex** is one that has children.
- A **subtree** is a subgraph of a tree induced by a vertex and all its descendants.

Examples

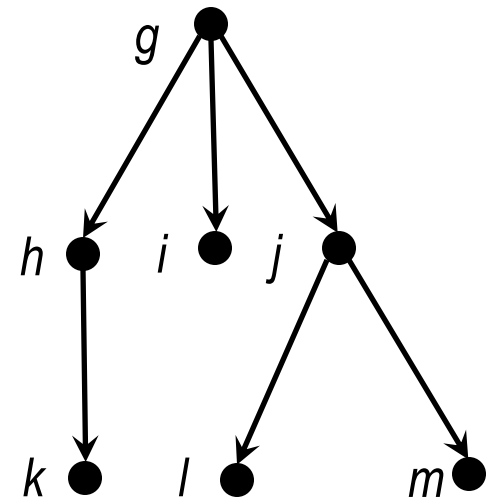
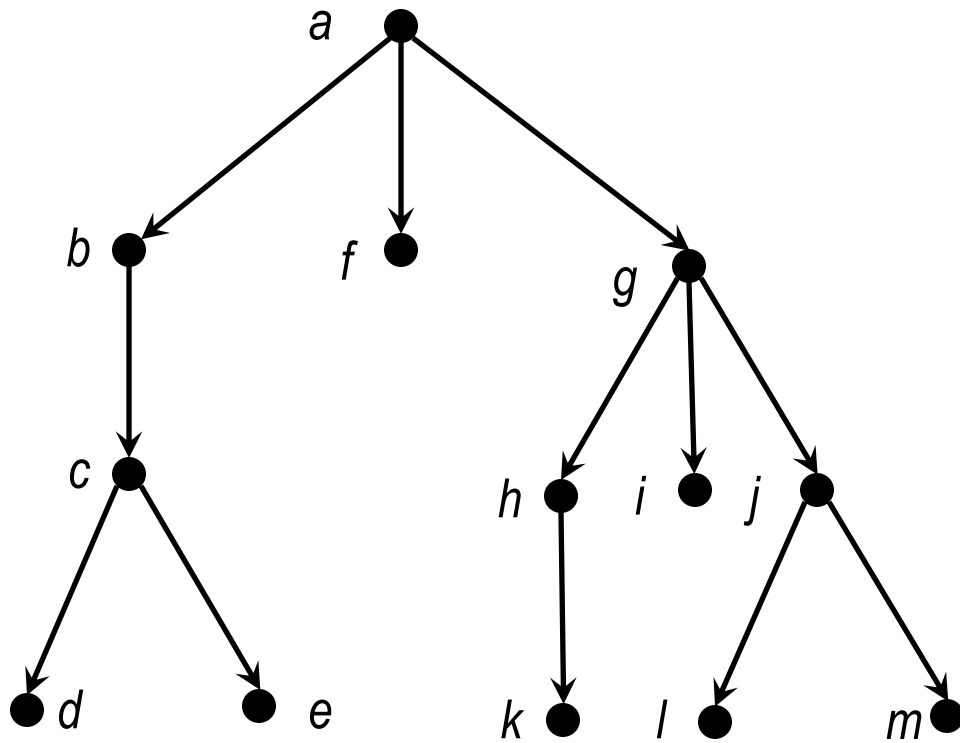
● For the following rooted tree find

- Children of b ?
- Parent of b ?
- Ancestors of f ?
- Descendant of a ?
- Terminal vertices (leaves)?
- Internal vertices?



Examples

● *A tree T and its subtree rooted at g .*



Practice

Exercises from the Book:

7th edition: 1, 3, 9, 11, 17, 31 (page 755 – 756)

8th edition: 1, 3, 9, 11, 17, 31 (page 791 – 792)